



PART A:	MATTERS DEALT WITH UNDER DELEGATED POWERS
REPORT TO:	LICENSING COMMITTEE
DATE:	7 JUNE 2012
REPORT OF THE:	HEAD OF ENVIRONMENT, STREETSCENE, FACILITIES PHIL LONG
TITLE OF REPORT:	THE GAMBLING ACT 2005 – DRAFT STATEMENT OF PRINCIPLES
WARDS AFFECTED:	ALL

EXECUTIVE SUMMARY

1.0 PURPOSE OF REPORT

- 1.1 The purpose of this report is to inform Members of the requirement to issue and consult on a draft Statement of Principles in relation to the Gambling Act 2005 and seek Members approval to consult on the draft Statement.

2.0 RECOMMENDATION

- 2.1 It is recommended that:
- (l) The draft Gambling Act Statement of Principles be subject to formal consultation and following the consultation the Statement of Principles be resubmitted to the Licensing Committee on 20 September 2012.

3.0 REASON FOR RECOMMENDATION

- 3.1 The Council must review its Statement of Principles every three years. The Statement is due for review and must be consulted upon, ratified by the Council and published before the 31 December 2012. The draft Statement has been based on current regulations and guidance and requires Members approval prior to consultation.

4.0 SIGNIFICANT RISKS

- 4.1 Failure to formally review or consult on a Statement of Principles within the timetable required by the Act, Regulations and guidance would mean the Council was not complying with its statutory duty and would also leave the Council open to legal challenge and any subsequent costs.

5.0 POLICY CONTEXT AND CONSULTATION

- 5.1 The Gambling Act 2005 imposes statutory requirements on the local authority as the Licensing Authority. The requirement to produce and consult on a Statement of Principles in relation to the Gambling Act 2005 is identified in the Health and Environment Service Delivery Plan 2012/13.
- 5.2 Section 349(3) of the Act requires that the Licensing Authority consult the following on the Statement of Principles (or any subsequent provision):
- The Chief Officer of Police for the Authority's area
 - One or more persons who appear to the authority to represent the interests of persons carrying on gambling businesses in the authority's area; and
 - One or more persons who appear to the authority to represent the interests of persons who are likely to be affected by the exercise of the authority's functions under the Act.
- 5.3 Any written consultation should follow best practice as set out by the Cabinet Office including allowing 12 weeks for responses to consultation. It is proposed to consult as widely as possible and publish the consultation document on the Council's website
- 5.4 Proposed Consultation period:- 11 June to 3 September 2012.

REPORT

6.0 REPORT DETAILS

- 6.1 Section 349 of the Gambling Act 2005 requires that all licensing authorities prepare and publish a statement of the principles that they propose to apply in exercising their functions under the Licensing Act during the three year period to which the policy applies. A Statement of Principles will last for a maximum of three years, but can be reviewed and revised by an authority at any time.
- 6.2 The existing Statement of Principles has been kept under review since coming into force on 31 January 2010 and no revision has been deemed necessary to date. However, the Statement will require revising during 2012 in order for it to take effect from 31 January 2013 (when a new three year cycle will commence).
- 6.3 The service has produced a draft Statement of Principles, a copy of which is available at Annex B. This Statement is based on the requirements of the Act, the current guidance issued by the Gambling Commission and the template from Local Authority Coordinators of Regulatory Services (now local Government Regulation). This is the local government central body responsible for overseeing local authority regulatory and related services in the UK.
- 6.4 Members should note that there has been no significant changes to either regulations issued by the Secretary of State or guidance issued by the Gambling Commission in the intervening 3 year period that materially affects the current Statement of Principles and for that reason the Statement of Principles will remain unchanged for the years 2013-2016.

6.5 The regulations stipulate that the Statement of Principles (or any subsequent revision) must be published on the Authority's website and be made available for inspection by the public in the principal office or a public library in the area covered by the Statement. The Statement or revision must be published at least one month before it takes effect. Therefore any Statement will be required to be ratified by Full Council no later than the 31st December 2012. Section 154 of the Act provides that functions in relation to the three year Statement of Principles cannot be delegated but must be taken by the whole authority.

7.0 IMPLICATIONS

7.1 The following implications have been identified:

a) Financial

The Statement of Principles has been produced in house and the consultation will be undertaken using existing staffing resources.

b) Legal

The Statement of Principles must be reviewed before the end of its second three year period and is classed as high priority. Failure to review the Statement of Principles, seek approval by full Council and publish it before the 31st January 2013 could leave the Council subject to judicial review and have serious financial implication

c) Other

Ryedale's Statement of Principles is fundamental to the successful operation of the Licensed Gambling System and is a core document Members of a Licensing Sub Committee have regard to when arriving at gambling related decisions. The proposed draft Statement of Principles will be subject to consultation and it is hoped that the final Statement will reflect the balance between the commercial interests of the licensed gambling trade and the communities they serve and impact on.

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Background Papers:

Gambling Commission Guidance to Licensing Authorities, 3rd Edition, May 2009

Gambling Act 2005 (Licensing Authority Policy Statement) (England & Wales) Regulations 2006

Notes to accompany LACORS Statement of Principles Template-Gambling Act 2005, May
2009